

Audit Report

Pre-Assessment for

A&K Finishing

1061290-05

Audited Address: 4436 Donker Court S.E., Kentwood, Michigan,
USA, 49512

Start Date: May 13, 2013 End Date: May 13, 2013

COMMERCIAL- IN – CONFIDENCE

The contents of this report must not be disclosed to a third party without the agreement of the SAI Global Client

BACKGROUND INFORMATION

SAI Global conducted an audit of A&K Finishing beginning on May 13, 2013 and ending on May 13, 2013 to ISO/TS 16949:2009 - THIRD EDITION.

The purpose of this audit report is to summarise the degree of compliance with relevant criteria, as defined on the cover page of this report, based on the evidence obtained during the audit of your organization. This audit report considers your organization's policies, objectives, and continual improvement processes. Comments may include how suitable the objectives selected by your organization appear to be in regard to maintaining customer satisfaction levels and providing other benefits with respect to policy and other external and internal needs. We may also comment regarding the measurable progress you have made in reaching these targets for improvement.

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In addition to the information contained in this audit report, SAI Global maintains files for each client. These files contain details of organization size and personnel as well as evidence collected during preliminary and subsequent audit activities (Documentation Review and Scope) relevant to the application for initial and continuing certification of your organization.

Please take care to advise us of any change that may affect the application/certification or may assist us to keep your contact information up to date, as required by SAI Global Terms and Conditions.

This report has been prepared by SAI Global Limited (SAI Global) in respect of a Client's application for assessment by SAI Global. The purpose of the report is to comment upon evidence of the Client's compliance with the standards or other criteria specified. The content of this report applies only to matters, which were evident to SAI Global at the time of the audit within the audit scope. SAI Global does not warrant or otherwise comment upon the suitability of the contents of the report or the certificate for any particular purpose or use. SAI Global accepts no liability whatsoever for consequences to, or actions taken by, third parties as a result of or in reliance upon information contained in this report or certificate.

Please note that this report is subject to independent review and approval. Should changes to the outcomes of this report be necessary as a result of the review, a revised report will be issued and will supersede this report.

Standard:	ISO/TS 16949:2009 - THIRD EDITION
Applicable codes:	2851 3499 / DG24.3 DJ28.4
Scope of Certification:	Automotive interior and industrial sub-assembly and painting applications on various substrates using robotics, manual and mask spraying.
Permissible exclusions:	The product design requirements of 7.3
Number of Staff:	20
Number of Shifts:	1
Total audit duration:	Person(s): 1 Day(s): 1
Audit Team Member(s):	Team Leader Bill Lasby

Audit Report

Definition of and action required with respect to TS audit findings

Stage 1

Area of Concern: Area of the system likely to become a 'Non-conformance' at Stage 2 Audit if not appropriately addressed.

Action required: Client is required to investigate potential or actual nonconformity and complete corrective action or preventive action within own management system. This will be followed up by SAI Global at Stage 2 audit.

Opportunity for Improvement:

A documented statement, which may identify areas for improvement however shall not make specific recommendation(s).

Action required: Client may develop and implement solutions in order to add value to operations and management systems. SAI Global is not required to follow-up on this category of audit finding.

Executive Overview

The organization is currently registered to ISO 9001:2008 and the focus of this gap analysis was a verification of compliance to all those requirements of ISO/TS16949; 2009 that are not included in the requirements of ISO 9001:2008.

The organization was registered and compliant to ISO/TS 16949 until 1997 at which time they chose to maintain registration to ISO 9001 only. They did not, however, remove the additional TS16949 requirements from their documented system and continued to maintain compliance to the requirements of the ISO/TS16949 system documentation.

Findings

The results of this gap analysis are that, with exceptions noted below, the organization was found to be compliant to the requirements of ISO/TS16949:2009 and to sampled customer specific requirements.

Audit recommendations are always subject to ratification by SAI Global certification authority.

GAP ANALYSIS AUDIT

Any issues identified as '**Areas of Concern**' in this report must be addressed by correction or corrective action prior to a Stage 2 (Certification) Audit).

System requirements and interrelationships, functions, processes, areas audited:

The analysis focused on the verification of effective implementation of those requirements of ISO/TS16949 that are not included in ISO 9001:2008 (those requirements of the standard that are not in boxes). Appropriate objective evidence was verified for each of the requirements.

Areas of Concern:

- ISO/TS 16949:2009, 5.6.2.1 requires that input to the management review process shall include an analysis of actual and potential field failures and their impact of quality, safety or the environment. Management review records do not demonstrate that this is performed.
- ISO/TS 16949:2009, 7.6.3.1 requires that the (internal) laboratory shall specify and implement technical requirements for: adequacy of the laboratory procedures, competency of the laboratory personnel, testing of the product, capability to perform these services correctly and review of related records.

The organization has processes to meet these requirements but the documentation (Lab Scope) does not specify the technical requirements.

Name of client representative who acknowledged this report: Angelique Ward

Next Scheduled Audit:

This report was prepared by:
Bill Lasby


SAI Global Management Systems Auditor

IATF International Qualified Automotive Auditor, Certificate No 5-ADP-12-05-1821, Issued 4/5/12

